NYNEX FINSERV

Your key to Financial Freedom

Our Mission

- To partner with Clients
- For long-term relationships
- Offering trusted financial advisory relationships
- Strive to provide financial peace of mind by delivering tailored objective advice
- Designed to give clients the confidence to pursue their own passion, dreams and talents

Our Vision

- Our vision is to help clients feel confident about their future and pursue their financial goals. Through our comprehensive approach, we develop customized financial and retirement strategies that address your short and long-term needs. We are independent advisors, meaning that our recommendations are always in the best interests of our clients and their needs are at the core of everything we do.

- Together, we hope to grow multi-generationally and go above and beyond for your needs.

Core Values

- At Nynex Finserv, we recognize the significant duty we owe to our clients. We take pride in providing our clients with financial security and peace of mind during times of mounting concern and uncertainty. It is our pleasure to assist our clients in meeting their day-to-day financial challenges as well as their long-term goals. Our Core Values reflect how we want to care for our clients. The founding principles are –
- **Integrity:** Provide professional services with integrity.
- **Objectivity:** Provide professional services objectively.
- **Competence:** Maintain the knowledge and skill necessary to provide professional services competently.
- **Fairness:** Be fair and reasonable in all professional relationships. Disclose conflicts of interest.
- **Confidentiality:** Protect the confidentiality of all client information.
- **Professionalism:** Act in a manner that demonstrates exemplary professional conduct.
- **Communication:** Consistent communication and engagement between us and our clients creates an informed relationship.

Co-Founders

GOPI DOSHI
CO-Founder

CHIRAG DHOLAKIA
CO-Founder

NIRAVV VYAAS
CO-Founder

BHAVYA SANGHANI
CO-Founder

Gopi Doshi

- Total experience of 29 years in the Financial Markets.
- Worked with Alchemy Capital, ICICI Bank – Wealth, Motilal Oswal, Sharekhan, LKP Shares & Securities to name a few.
- Education – B. Com and MBA

Chirag Dholakia

- Total experience of 20 years in the Financial Markets.
- Worked with Karvy Family Office, ICICI Securities, Anand Rathi, Religare Macquarie Private Wealth & Standard Chartered Bank.
- Education – B. Com, M.Com and MBA Finance

Niravv Vyaas

- Total experience of 18 years in the Financial Markets
- Worked with Indiabulls Group
- Education – B. Com and MBA Finance

Bhavya Sanghani

- Total experience of 17 years
- Worked with Malik Makaan, Sahakar Global Ltd. & Tech Mahindra
- Education – Masters in Mechanical Engineering (Automobile)

Products Offered – Financial

Portfolio Management Services (PMS)

- All the leading PMS Houses in the Country

Off Shore Funds

- All the leading PMS Houses offering Off-Shore Funds for foreign nationals who want to invest and participate in the Indian Growth Story through Gift City.

Alternate Investment Funds (AIFs)

- Investment offerings in Category I / II / III Funds.

Products Offered – Financial

- Mutual Funds (MFs)
  - Tie-up with all major Mutual Funds in India for Equity, Debt, PMS and AIFs through Gift City.
- Fixed Income Products
  - Bonds
  - Fixed Deposits
- Equity Broking
  - Tied-up with Motilal Oswal Financial Services Ltd. as a Business Associate

Products Offered – Real Estate

Onshore Real Estate

- Participate and market new project launches of reputed Developer like Godrej, Adani, Lodha, Chandak, Transcon, Oberoi, Rustomjee, Sheth, Suraj, Birla, Raheja, Sethia, etc.

Offshore Real Estate

- Marketing Dubai properties for Developers like Emaar, Damac, Shobha, Danube, SLS Property, Azizi, Dugasta, etc.

Home Loans

- Tie-up with all major Banks and Financial Institutions for providing Home Loans and Loan against Property requirements of our customers

Other Mortgages

- Tie-up with all major Banks and Financial Institutions for Business / Working Capital Loan requirements of our clients.
- Project Finance

INVESTMENT PRODUCT OFFERINGS

Investment Recommendations

AIF

- ValueQuest – VQ FasterCap Fund – Open Ended Category III AIF (New Fund Offer)
- Alchemy Leaders of Tomorrow – Open Ended Cat III AIF
- Buoyant Opportunities Fund – Open Ended Category III AIF

PMS

- ValueQuest Platinum Fund (Minimum ticket size – Rs. 5 crores)
- Alchemy High Growth – Select Stock Fund (Minimum ticket size – Rs. 3 crores)
- Unifi Capital – The Blended Rangoli Fund
- Negen Capital Special Situation & Technology Fund
- Buoyant Opportunites Fund
- ValueQuest Growth Fund

Mutual Funds

- Aditya Birla Sunlife Multicap Fund – Multicap Fund
- Tata Flexi Cap Fund – Flexi Cap Fund
- HDFC Top100 Fund – Large Cap Fund
- Franklin India Prima Fund – Midcap Fund
- Kotak Emerging Equity Fund – Midcap Fund
- Sundaram Small Cap Fund – Small Cap Fund
- SBI Focussed Equity Fund – Focussed Fund
- Motilal Oswal Large and Midcap Fund – Large & Midcap Fund

ELSS

- Canara Robeco ELSS Tax Saver Fund
- HDFC ELSS Tax Saver Fund

Children’s Fund

- SBI Magnum Children’s Benefit Fund – Investment Plan

Balance Advantage Fund (BAF)

- HDFC Balance Advantage Fund
- Nippon India Balance Advantage Fund

Investment Recommendations – AIF **(New Fund Offer)**
ValueQuest – VQ FasterCap Fund – AIF – Cat 3 – Open Ended

ValueQuest – VQ FasterCap Fund – Category 3 AIF – Open Ended		
Fund Manager Name –	Ravi Dharamshi & Varun Goenka	
Investment Objective	• Long Only, Multicap with sector agnostic fund. • Focus on companies benefitting from Mega trends and exhibit SCALE change • GRIP (Growth at Inflexion Points) • Open-ended Category III AIF • Invest in companies with 3-5 years rolling view, with low tolerance for underperformance	
Number of Companies in the portfolio	15 – 25 companies	
Minimum Investment	Rs. 1 Crore	
Subscriptions and New Investments	This is open ended fund with no entry loads	
Exit Load	Year 1 – 2% Year 2 – 1% Year 3 – Nil thereafter	
Performance	As on 30/09/2024	
Period	VQ FasterCap Fund – Category III AIF – Open Ended	BSE 500 TRI
1 Year	N.A.	N.A.
2 Year	N.A	N.A
3 Year	N.A	N.A
5 Year	N.A	N.A
Since Inception	N.A.	N.A.

Investment Recommendations – AIF **(New Fund Offer)**
ValueQuest – VQ FasterCap Fund – AIF – Cat 3 – Open Ended

Schedule of Fee Class without Performance Fees			Distributor Class with Performance Fees			
Commitment (Rs in crs.)	Class	Fixed Fee	Class	Hybrid FF	Hurdle Rate	Performance Fee
1 to 5	C1	2.25%	C6	1.75%	10.00%	15.00%
5 to 10	C2	2.00%	C7	1.50%	10.00%	15.00%
10 to 25	C3	1.75%	C8	1.25%	10.00%	15.00%
25 to 50	C4	1.50%	C9	1.00%	10.00%	15.00%
50 and above	C5	1.25%	C10	0.75%	10.00%	15.00%

Investment Recommendations – AIF
Alchemy Leaders of Tomorrow AIF – Cat 3 – Open Ended

Alchemy Capital – Alchemy Leaders of Tomorrow Fund - AIF Cat III – Open Ended		
Investment Manager	Alchemy Capital Management Pvt. Ltd.	
Fund Managers	Hiren Ved	
Investment Tenure	Open Ended	
Underlying Asset Class	Listed Equities, QIPs and IPO opportunities	
Initial Contribution		
Minimum Commitment Amount	Rs. 1 Crores	
Investment Options	Lump Sum: 100% at the time of investment	
Investment Horizon	3 to 5 years	
Redemption	Monthly with prior notice of 15 days with exit charges	
Allotment Date	First of every month	
Eligible Investors	Resident Indians, NRI, HNI, Hindu undivided Family (HUF), Banks, Bodies Corporate, Partnership Firm, Accredited Investors & Trusts	
Inception Date	03/01/2018	
Performance (As on 30/09/2024)		
Period	Portfolio Returns	S&P BSE 500
1 Year	46.10%	39.50%
2 Years	30.10%	27.10%
3 Years	21.30%	16.90%
5 Years	26.30%	20.90%
Since Inception	17.40%	15.00%

Investment Recommendations – AIF
Alchemy Leaders of Tomorrow AIF – Cat 3 – Open Ended

Fees		Management Fees (p.a.)		Performance Fees
Investment Amount	Rs.1 Crore to < Rs.5 Crores	Rs.5 Crore to < Rs.10 Crores	> Rs.10 Crores	
Management Fee Plan	2.50%	2.25%	2.00%	Nil
Performance Fee Plan	1.50%	1.25%	1.00%	15% of returns charged at the end of each financial year or at the time of redemption, whichever is earlier, with the hurdle rate of 10%, including high watermark provisions.
Exit Load	• Year 1 – 1% • Nil thereafter			

Investment Recommendations – AIF
Buoyant Opportunities AIF – Cat 3 – Open Ended

Buoyant Capital – Buoyant Opportunities Fund – Category 3 AIF – Open Ended		
Fund Manager Name –	Sachin Khivasara, Jigar Mistry , Viral Berawala & Natasha Lulla	
Investment Objective	Multicap Fund with top-down view of the macros and market cycles of the sector and then bottoms-up stock picking approach in the sectors	
Number of Companies in the portfolio	20 – 30 companies	
Minimum Investment	Rs. 1 Crore	
Subscriptions and New Investments	This is open ended fund with no entry and exit loads	
Exit Load	No Exit Load	
Performance	As on 31/08/2024	
Period	Buoyant Opportunities Fund – Category III AIF – Open Ended	S&P BSE 500 TRI
1 Year	36.80%	41.10%
2 Year	N.A	N.A
3 Year	N.A	N.A
5 Year	N.A	N.A
Since Inception	33.10%	27.70%
Fee Structure	<u>ONLY FIXED FEE</u> • Management fee of 2% p.a. of the AUM will be charged on a quarterly basis computed on each day end value. OR <u>PROFIT SHARE (Charged on 31st of March every year):</u> 0% - 8% - 20% Performance Fees of 20% of profits above hurdle rate of 8% p.a.	

Investment Recommendations – PMS
ValueQuest Platinum Fund

ValueQuest Platinum Fund		
Fund Manager Name –	Ravi Dharamshi	
Investment Philosophy / Strategy	<u>Philosophy:</u> ValueQuest Platinum emphasis on investing in fundamentally sound, well researched companies having bright future prospect irrespective of market capitalization. The aim is to identify business tailwinds in a company/ sector and capitalize on the same. Apart from core portfolio stocks complimented with young/ new to market/ turnaround stocks, portfolio can have access to exclusive deals, special situation opportunities. These stocks will be selected based on business tailwinds and superior risk-reward. <u>Strategy:</u> Invest in 8 - 12 companies across the market capitalization range, with a customised portfolio and investment horizion of a 1 – 3 year view, which have high growth potential and potential to deliver long-term capital appreciation.	
Number of Companies in the portfolio	8 to 12 companies	
Minimum Investment	Rs.5 Crores	
Exit Load	Upto 3 Years – 1% ; Nil thereafter	
Performance	As on 30/09/2024	
Period	ValueQuest Platinum Fund	S&P BSE 500 TRI
1 Year	44.18%	41.11%
2 Year	41.86%	28.73%
3 Year	28.54%	18.40%
5 Year	38.81%	22.40%
Since Inception	22.91%	15.59%
Fee Structure	**Fixed Fee –** 2.50% per annum charged monthly **Performance Fee –** • Fixed Fee – 1.50% • Hurdle Rate – 10% • Performance Fee – 15%	

Investment Recommendations – PMS
Alchemy High Growth Select Stock Fund

Alchemy High Growth - Select Stock Fund		
Fund Manager Name –	Hiren Ved	
Investment Philosophy / Strategy	<u>Philosophy:</u> The philosophy behind growth investing is based on the assumption that India is a high growth economy with a strong entrepreneurial culture. Our endeavour is to identify and invest in growth companies through a combination of top-down and bottoms up fundamental research to enable long term wealth creation. A typical Portfolio may generally consist of between 8-12 stocks across sectors. We may construct such concentrated Portfolios as per the Clients need and understanding. <u>Strategy:</u> Invest in companies across the market capitalization range, which have high growth potential and potential to deliver long-term capital appreciation.	
Number of Companies in the portfolio	8 to 12 companies	
Minimum Investment	Rs.3 Crores	
Exit Load	Year 1 – 1% ; Nil thereafter	
Performance	As on 30/09/2024	
Period	Alchemy High Growth – Select Stock Fund	S&P BSE 500 TRI
1 Year	52.20%	41.10%
2 Year	31.00%	28.70%
3 Year	20.00%	18.40%
5 Year	21.40%	22.40%
Since Inception	21.50%	17.50%
Fee Structure	Fixed Fee – 2.50% per annum charged quarterly Performance Fee – • Fixed Fee – Nil • Hurdle Rate – Nil • Performance Fee – 15%	

Investment Recommendations – PMS
UNIFI Capital – The Blended Rangoli Fund

Unifi Capital – The Blended Rangoli Fund		
Fund Manager Name –	E. Prithvi Raj	
Investment Objective	Focus on identifying unique investment opportunities that consistently generate superior (risk adjusted) returns with due emphasis on capital preservation.	
Number of Companies in the portfolio	10 –20 companies	
Minimum Investment	Rs.50 Lacs	
Subscriptions and New Investments	This is open ended fund with no entry and exit loads	
Redemptions	The tenure of the fund is 5 years or 200% absolute return, whichever is earlier. Since the structure is PMS , there is no lock-in of funds. The redemption is processed within 7 working days.	
Fee Calculation	Management Fee applicable will be charged on a monthly basis computed on each day end value. Applicable performance fee will be charged at the closure of the fund	
Performance	As on 30/09/2024	
Period	Blended- Rangoli Fund	S&P BSE 500 TRI
1 Year	26.10%	41.11%
2 Year	23.76%	28.73%
3 Year	15.21%	18.40%
5 Year	31.51%	22.40%
Since Inception	22.62%	16.96%

Investment Recommendations – PMS
UNIFI Capital – The Blended Rangoli Fund

Fee Structures	<u>ONLY FIXED FEE</u> • Management fee of 2% p.a. of the AUM will be charged on a monthly basis computed on each day end value. OR <u>PROFIT SHARE (With Management fee offset benefit):</u> 1.50% - 10% - 20% • Management Fee of 1.50 % p.a. of the AUM will be charged on a monthly basis computed on each day end value. • Performance Fees of 20% of profits above hurdle rate of 10% p.a. with management fee offset benefit. The performance fee is charged at end of the 5 Years or 200% return whichever is earlier. • In case of pre closure management fee offset benefit will not be available. Maturity is defined as 5 years or 200% returns whichever is earlier

Investment Recommendations – PMS
Negen Special Situation & Technology Fund

Negen Capital – Negen Special Situation & Technology Fund		
Fund Manager Name –	Neil Bahal	
Investment Objective	1. Idea Generation: Most of our portfolio companies have a unique Combination of Special Situation Investing. We look for ideas from Demerger and Promoter Change with Better Management. These situations have delivered Alpha Returns. 2. MEGA Trends: We identify Mega Trends and Invest in the companies benefitting the most from them. We have been Investing in Consumer Tech Companies and being Early in this, we generated Alpha returns. 3. Conservative Approach: We stay away from PSU and Cyclical Investments. We are sitting on 21% CASH and are looking for future opportunities.	
Number of Companies in the portfolio	20 – 30 companies	
Minimum Investment	Rs.50 Lacs	
Subscriptions and New Investments	This is open ended fund with no entry and exit loads	
Exit Load	No Exit Load	
Fee Structure	Performance Fee of 15% Profit Share. • No Management Fee. • No Expense Ratio. • No Exit Load. • Only if you make money, we make money.	
Performance	As on 30/09/2024	
Period	Negen Special Situation & Technology Fund	S&P BSE 500 TRI
1 Year	53.14%	41.11%
2 Year	39.10%	28.73%
3 Year	28.21%	18.40%
5 Year	39.25%	22.40%
Since Inception	21.21%	16.94%

Investment Recommendations – PMS
Buoyant Opportunities Fund

Buoyant Capital – Buoyant Opportunities Fund		
Fund Manager Name –	Sachin Khivasara, Jigar Mistry , Viral Berawala & Natasha Lulla	
Investment Objective	Multicap Fund with top-down view of the macros and market cycles of the sector and then bottoms-up stock picking approach in the sectors	
Number of Companies in the portfolio	20 – 30 companies	
Minimum Investment	Rs.50 Lacs	
Subscriptions and New Investments	This is open ended fund with no entry and exit loads	
Exit Load	No Exit Load	
Performance	As on 30/09/2024	
Period	Buoyant Opportunities Fund	S&P BSE 500 TRI
1 Year	33.60%	41.10%
2 Year	35.60%	28.70%
3 Year	23.60%	18.40%
5 Year	30.10%	22.40%
Since Inception	24.00%	17.90%
Fee Structure	ONLY FIXED FEE • Management fee of 2% p.a. of the AUM will be charged on a quarterly basis computed on each day end value. OR PROFIT SHARE (Charged on 31st of March every year): 0% - 8% - 20% Performance Fees of 20% of profits above hurdle rate of 8% p.a.	

Investment Recommendations – PMS
ValueQuest Growth Fund

ValueQuest Growth Fund		
Fund Manager Name –	Sameer Shah	
Investment Philosophy / Strategy	<u>Philosophy:</u> VQ Growth emphasis on investing in fundamentally sound, well researched companies having bright future prospect irrespective of market capitalization. The aim is to maximize the power of compounding. Here our philosophy is to pick high quality companies with proven track record at reasonable valuations and then let the compounding do its magic. Idea is to ride the growth phase in the company or industry over rolling 3 - 5 years' time frame. <u>Strategy:</u> Invest in 8 - 12 companies across the market capitalization range, with a customised portfolio and investment horizion of a 3 – 5 year view, which have high growth potential and potential to deliver long-term capital appreciation.	
Number of Companies in the portfolio	8 to 12 companies	
Minimum Investment	Rs.50 Lacs	
Exit Load	Upto 3 Year – 1% ; Nil thereafter	
Performance	As on 30/09/2024	
Period	ValueQuest Growth Fund	S&P BSE 500 TRI
1 Year	36.50%	41.11%
2 Year	39.16%	28.73%
3 Year	24.08%	18.40%
5 Year	35.02%	22.40%
Since Inception	19.91%	13.72%
Fee Structure	Fixed Fee – 2.50% per annum charged monthly Performance Fee – • Fixed Fee – 1.50% • Hurdle Rate – 10% • Performance Fee – 15%	

Investment Recommendations – Mutual Funds

Mutual Fund Recommendations									
NAV - As on 30th September, 2024	Value Information				Compound Annualized (%)				
Scheme Name	Launch Date	Category	Fund Size - August 2024 (Rs Crs)	Latest NAV (as on 30-09-2024)	1 Year	2 Years	3 Years	5 Years	Since Inception
Aditya Birla Sun Life Multi-Cap Fund - Reg - Growth	07-May-21	Multi Cap Fund	6317.66	20.64	41.49	30.67	18.94	--	23.73
Tata Flexi Cap Fund - Reg - Growth	06-Sep-18	Flexi Cap Fund	3075.39	24.47	34.91	25.03	14.78	17.71	15.88
HDFC Top 100 Fund - Reg - Growth	11-Oct-96	Large Cap Fund	37783.08	1199.18	37.57	30.71	20.08	20.20	19.49
Franklin India Prima Fund - Reg - Growth	01-Dec-93	Midcap Fund	12745.75	2837.26	53.76	36.84	22.48	24.97	20.09
Kotak Emerging Equity Fund - Reg - Growth	30-Mar-07	Midcap Fund	51366.36	136.60	48.90	34.37	24.53	29.06	16.10
Sundaram Small Cap Fund - Reg - Growth	15-Feb-05	Small Cap Fund	3502.82	271.04	40.44	35.13	22.93	29.70	18.30
SBI Focused Equity Fund - Reg - Growth	17-Sep-04	Focussed Fund	35570.57	344.14	30.96	22.10	13.09	18.80	19.30
Motilal Oswal Large and Midcap Fund (MOFLM) - Reg - Growth	17-Oct-19	Large & Midcap Fund	5810.75	33.95	60.12	44.37	26.92	--	27.95
Canara Robeco ELSS Tax Saver - Growth	02-Feb-09	ELSS	9048.83	182.99	40.09	26.33	16.63	22.79	20.38
HDFC ELSS Taxsaver Fund - Reg - Growth	31-Mar-96	ELSS	16422.31	1410.65	47.59	34.73	24.80	23.13	23.92
SBI Magnum Childrens Benefit Fund - Investment Plan - Reg - Growth	29-Sep-20	Children's Fund	2693.38	42.41	46.36	34.39	25.38	--	43.43
HDFC Balanced Advantage Fund - Reg - Growth	11-Sep-00	BAF	95391.46	514.80	33.79	30.21	22.63	21.62	17.79
Nippon India Balanced Advantage Fund - Reg - Growth	15-Nov-04	BAF	8802.55	174.99	25.75	18.46	12.87	13.87	15.48

Contact Details

- Gopi Doshi
  - Mobile No. - +91 9821628468
  - Email Id – gopi@nynex.in
- Chirag Dholakia
  - Mobile No. - +91 9920990279
  - Email Id – chirag@nynex.in
- For Home Loans / Mortgages
  - Rakesh Kadam
  - Mobile No. - +91 9920192998
  - Email Id – rakeshkadam@nynexfinserv.com

Disclosures

- For Mutual Funds , all the returns are as on 30th September, 2024 and AUM is as on 31st August, 2024.
- PMS & AIF returns are as on 30th September, 2024.
- Nothing contained herein, including past performance, shall constitute any representation or warranty as to future performance.
- For AIF – Please refer to Private Placement Memorandum (PPM) for complete details on the fund.
- Past performance is no guarantee of future results.

Thank You